

**From:** [Frank Ward](#)  
**To:** [Goodall, Jannette](#)  
**Subject:** Re: Quick question/request  
**Date:** Friday, November 30, 2018 4:50:30 PM

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Noted, thank you for letting me know.

Hope you have a good rest of the day and weekend.

I will be in touch again early next week.

Best,

Frank

On Fri, Nov 30, 2018 at 3:03 PM Goodall, Jannette <[Jannette.Goodall@austintexas.gov](mailto:Jannette.Goodall@austintexas.gov)> wrote:

The candidates packet is made available upon request in hard copy, digital and on the website starting 6 months before the election.

Sent from my Verizon, Samsung Galaxy smartphone

----- Original message -----

**From:** Frank Ward <[fpward3@gmail.com](mailto:fpward3@gmail.com)>  
**Date:** 11/30/18 1:36 PM (GMT-06:00)  
**To:** "Goodall, Jannette" <[Jannette.Goodall@austintexas.gov](mailto:Jannette.Goodall@austintexas.gov)>  
**Subject:** Re: Quick question/request

Duly noted. Thank you, Jannette.

Also, I wanted to confirm that I wasn't supposed to receive a "candidate packet" at the time I filed, correct? I don't recall receiving one, but I now know that there is a 360-page PDF available for review online.

Again, I appreciate your time.

Best,

Frank

On Fri, Nov 30, 2018 at 12:21 PM Goodall, Jannette <[Jannette.Goodall@austintexas.gov](mailto:Jannette.Goodall@austintexas.gov)> wrote:

No need to notify any other department once we have them we will notify the news reporter that has been asking.

Sent from my Verizon, Samsung Galaxy smartphone

----- Original message -----

From: Frank Ward <[fpward3@gmail.com](mailto:fpward3@gmail.com)>

Date: 11/30/18 10:24 AM (GMT-06:00)

To: "Goodall, Jannette" <[Jannette.Goodall@austintexas.gov](mailto:Jannette.Goodall@austintexas.gov)>

Subject: Re: Quick question/request

Thanks very much for the additional information and clarification, Jannette. I appreciate your time on this.

I will be working to get another SFI filed along with the PFS. Do I need to alert anyone (beyond you and your office) that I intend to get these forms filed as soon as possible?

I am committed to ensuring that my campaign is in total compliance with whatever requirements exist for City Council candidates.

Again, thanks for providing me the guidance you have.

Best,

Frank

On Fri, Nov 30, 2018 at 7:27 AM Goodall, Jannette <[Jannette.Goodall@austintexas.gov](mailto:Jannette.Goodall@austintexas.gov)> wrote:

Mr. Ward,

Yes, you did not file a Personal Financial Statement which is required. There are 2 separate forms: Personal Financial Statement (PFS) and the Statement of Financial Information (SFI). As a member of the Parks Board you would have filed a SFI. Board Members are not required to file the state form – the PFS. In addition, City Code only provides exemption for “incumbent candidates” from filing the SFI as a candidate. I confirmed with our Law Department that as a Board Member that while you did file a SFI the best approach as a candidate would be to refile that form since Board Members are not considered incumbent candidates. My recommendation would be to file both the PFS and the SFI.

The SFI can be found at: <http://www.austintexas.gov/edims/document.cfm?id=296165>

Both forms are required to be notarized. The Clerk’s Office can notarize the documents if you prefer to come in person or you may have someone notarize them and email the notarized copy to me.

**From:** Frank Ward [mailto:[fpward3@gmail.com](mailto:fpward3@gmail.com)]  
**Sent:** Thursday, November 29, 2018 2:43 PM  
**To:** Goodall, Jannette <[Jannette.Goodall@austintexas.gov](mailto:Jannette.Goodall@austintexas.gov)>  
**Subject:** Re: Quick question/request

Jannette,

Thanks very much for your prompt response.

To clarify, are you saying that I am missing a Personal Financial Statement and need to fill out what you provided at this link: <https://www.ethics.state.tx.us/forms/pfs18-LOCAL.pdf>

If that's the case, it seems I misunderstood the requirement (i.e. I thought that the Annual Financial Statement that I'd filed as part of my Parks & Rec. Board duties was all I was responsible for). That said, if I misunderstood, I want to get this rectified as quickly as possible.

Do I need to alert any other office (other than yours) that I will be submitting this form?

Also, thank you for looking into whether or not I would again need to file an Annual Financial Statement (even though I think it would be covering the same period as the one I already submitted). On that note, it was brought to my attention that I may need to make a correction to that originally filed form.

If that's the case, could you please advise me as to how best to go about doing that?

Thank you for your time and assistance. Looking forward to hearing more from you soon.

Best,

Frank

On Thu, Nov 29, 2018 at 1:20 PM Goodall, Jannette  
<[Jannette.Goodall@austintexas.gov](mailto:Jannette.Goodall@austintexas.gov)> wrote:

Mr. Ward,

Below is the link to the Personal Financial Statement. This form is not one that you would have filed as a board member.

<https://www.ethics.state.tx.us/forms/pfs18-LOCAL.pdf>

Attached is the Statement of Financial Information which you filed as a Parks and Recreation Board on January 21, 2018. I am confirming if as a Board Member you would be considered an "incumbent candidate" and would not need to refile the Statement of Financial Information.

**From:** Frank Ward [mailto:[foward3@gmail.com](mailto:foward3@gmail.com)]  
**Sent:** Thursday, November 29, 2018 11:14 AM  
**To:** Goodall, Jannette <[Jannette.Goodall@austintexas.gov](mailto:Jannette.Goodall@austintexas.gov)>  
**Subject:** Quick question/request

Good morning, Jannette,

I hope this message finds you well. I'm writing to see if can please provide me with a copy of the annual financial statement and/or personal financial statement I had to file when I became a Parks & Rec. Board Commissioner. I recall filing the form(s) towards the beginning of this year (and that they covered 2017).

I also want to clarify/confirm that I didn't need to file those a second time as a

candidate for City Council (because my understanding has been that such forms must be filed only once per year)--and since I've remained an active member of a City Commission that my earlier filing would meet the obligation.

If that is not the case, or if additional information is required, please advise me and I will gladly fulfill that responsibility as soon as possible.

Best,

Frank

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Frank P. Ward III

512-422-7960 (Mobile)