## PERSONAL FINANCIAL STATEMENT

P.O. Box 12070

# FORM PFS **COVER SHEET**

				PA	<u>GE 1</u>		
		n accordance with chapter 572 of the Government Code.	TOTAL NUMBER OF PA	AGES FILED:			
		lired in 2013, covering calendar year ending December 31, 2013.  M PFSINSTRUCTION GUIDE when completing this form.	ACCOUNT #				
1	NAME	TITLE; FIRST; MI		USE ONLY	7		
		City Manager Marc Anthony	Date Received	2015	·		
•		NICKNAME; LAST; SUFFIX			A U		
		Ott		APR	AUSTIN RE		
2	ADDRESS	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE	-	24			
_	NOBILEGO	11213 Cusseta Lane		- <del>-</del> -	CEIVI		
		11213 Cusseta Lane	·	A	IVED		
i			Receipt #		m		
		CHECK IF FILER'S HOME ADDRESS)	HD / PM	Amount N			
3	TELEBUIONE	AREA CODE PHONE NUMBER; EXTENSION	Date Processed	9			
•	TELEPHONE NUMBER						
		(512) 974-2125	Date Imaged				
4	REASON						
	FOR FILING STATEMENT	CANDIDATE (INDICATE OFF					
		ELECTED OFFICER					
		APPOINTED OFFICER					
		□ EXECUTIVE HEAD		(INDICATE	AGENCY)		
		☐ FORMER OR RETIRED JUDGE SITTING BY ASSIGNMENT					
		STATE PARTY CHAIR	,	(INDICATE	E PARTY)		
				(INDICATE POSIT	TION)		
		· · · · · · · · · · · · · · · · · · ·					
5	Family members wh	ose financial activity you are reporting (see instructions).					
	spouse Pa	mela Ott					
	DEPENDENT (	CHILD 1. Carly Ott					
		2. Gabriel Ott					
		3					
		18, you will disclose your financial activity during the preceding calendal not only your own financial activity, but also that of your spouse or a depend			ou are		

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

(512) 463-5800

#### PERSONAL FINANCIAL STATEMENT

P.O. Box 12070

COVER SHEET PAGE 2

On this page, indicate any Parts of Form PFS that are not applicable to you. If you do not place a check in a box, then pages for that Part must be included in the report. If you place a check in a box, do NOT include pages for that Part in the report.

6	PARTS NOT APPLICABLE TO FILER
	□ N/A Part 1A - Sources of Occupational Income
	☑ N/A Part 1B - Retainers
	☑ N/A Part 2 - Stock
	N/A Part 3 - Bonds, Notes & Other Commercial Paper
	□ N/A Part 4 - Mutual Funds
	✓ N/A Part 5 - Income from Interest, Dividends, Royalties & Rents
	□ N/A Part 6 - Personal Notes and Lease Agreements
	☑ N/A Part 7A - Interests in Real Property
	□ N/A Part 7B - Interests in Business Entities
	☑ N/A Part 8 - Gifts
	☑ N/A Part 9 - Trust Income
	☑ N/A Part 10A - Blind Trusts
	☑ N/A Part 10B - Trustee Statement
	N/A Part 11A - Assets of Business Associations
	✓ N/A Part 11B - Liabilities of Business Associations
	N/A Part 12 - Boards and Executive Positions
	N/A Part 13 - Expenses Accepted Under Honorarium Exception
	N/A Part 14 - Interest in Business in Common with Lobbyist
	N/A Part 15 - Fees Received for Services Rendered to a Lobbyist or Lobbyist's Employer
	N/A Part 16 - Representation by Legislator Before State Agency
	N/A Part 17 - Benefits Derived from Functions Honoring Public Servant
	N/A Part 18 - Legislative Continuances

Texas Ethics Commission P.	O. Box 12070	Austin, Texas	s 78711-2070	(512) 463-5800	(TDD 1-800-735-2989
SOURCES OF OCC If the requested information is page in the report.				ne Cover Sheet, <b>and c</b>	PART 1A
When reporting information all providing the number under wh				the child about whom	you are reporting by
1 INFORMATION RELATES TO	) Griler		SPOUSE	DEPENDENT CH	HILD
EMPLOYMENT	<sup>ER</sup> 30	y of Austin-C 1 West 2 <sup>nd</sup> St stin, Texas 7	□(Check If F ity Hall reet, 3 <sup>rd</sup> Floo	OF EMPLOYER / POSITION HELD Filer's Home Address)	
SELF-EMPLOYED					
INFORMATION RELATES TO	FILER		SPOUSE	DEPENDENT CH	
EMPLOYMENT		-		OF EMPLOYER/POSITION HELD Filer's Home Address)	,
□ EMPLOYED BY ANOTH	HER				
□ SELF-EMPLOYED			NATURE	E OF OCCUPATION	
INFORMATION RELATES TO	P		SPOUSE	DEPENDENT CH	-IILD
EMPLOYMENT		N		OF EMPLOYER / POSITION HELD Filer's Home Address)	<del></del>
□ EMPLOYED BY ANOTH	HEA		MAT) (DI	E OF OCCUPATION	
SELF-EMPLOYED			NATUHE	LOFOGGERATION	

## RETAINERS PART 1B

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, **and do NOT include this** page in the report.

This section concerns fees received as a retainer by you, your spouse, or a dependent child (or by a business in which you, your spouse, or a dependent child have a "substantial interest") for a claim on future services in case of need, rather than for services on a matter specified at the time of contracting for or receiving the fee. Report information here only if the value of the work actually performed during the calendar year did not equal or exceed the value of the retainer. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 FEE RECEIVED FROM	NAME AND ADDRESS		
,			
2 FEE RECEIVED BY	NAME OF BUSINESS		
	☐ FILER OR FILER'S BUSINESS		
	☐ SPOUSE OR SPOUSE'S BUSINESS		
	DEPENDENT CHILD OR CHILD'S BUSINESS		
3 FEE AMOUNT	☐ LESS THAN \$5,000 ☐ \$5,000\$9,999 ☐ \$10,000\$24,999 ☐ \$25,000OR MORE		
	NAME AND ADDRESS		
FEE RECEIVED FROM			
	NAME OF BUSINESS		
FEE RECEIVED BY			
	☐ FILER OR FILER'S BUSINESS		
	☐ SPOUSE OR SPOUSE'S BUSINESS		
	DEPENDENT CHILD OR CHILD'S BUSINESS		
·			
FEE AMOUNT	☐ LESS THAN \$5,000 ☐ \$5,000\$9,999 ☐ \$10,000\$24,999 ☐ \$25,000OR MORE		
COPY A	IND ATTACH ADDITIONAL PAGES AS NECESSARY		

## STOCK PART 2

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, **and do NOT include this** page in the report.

List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE.

providing the number under which the child is listed on the Gover officet.					
<sup>1</sup> BUSINESS ENTIT	Y		N/	AME	
<sup>2</sup> STOCK HELD OR	ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHIL	.D
3 NUMBER OF SHA	ARÉS	LESS THAN 100	☐100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999
		☐ 5,000 TO 9,999	☐ 10,000 OR MOR	E .	
4 IF SOLD	□ NET GAIN	LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	☐ \$25,000OR MORE
☐ NET LOSS					<del></del>
BUSINESS ENTITY			N/	AME	
STOCK HELD OR	ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHA	ARES	LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		☐ 5,000 TO 9,999	☐ 10,000 OR MOR	E	
IF SOLD	□ NET GAIN □ NET LOSS	LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
BUSINESS ENTITY		NAME			
500M200 EMTT					·
STOCK HELD OR ACQUIRED BY		FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHARES		LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999
		☐ 5,000 TO 9,999	☐ 10,000 OR MORE		
IF SOLD	☐ NET GAIN	LESS THAN \$5,000	<b>\$5,000\$9,999</b>	<b>\$10,000\$24,999</b>	☐ \$25,000OR MORE
	☐ NET LOSS				
BUSINESS ENTIT	Υ		N/	AME	
STOCK HELD OR	ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHA	ARES	LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		☐ 5,000 TO 9,999	☐ 10,000 OR MOR	E	
IF SOLD	☐ NET GAIN	LESS THAN \$5,000	<b>\$5,000\$9,999</b>	\$10,000\$24,999	\$25,000OR MORE
	☐ NET LOSS		· · · · · · · · · · · · · · · · · · · ·		
BUSINESS ENTIT	Υ		N	AME	
STOCK HELD OR	ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHIL	_D
NUMBER OF SHA	ARES	LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999
		☐ 5,000 TO 9,999	☐ 10,000 OR MOR	E	
IF SOLD	☐ NET GAIN	LESS THAN \$5,000	<b>\$5,000\$9,999</b>	\$10,000 <b>\$24,</b> 999	☐ \$25,000OR MORE
	☐ NET LOSS			· .	
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY					

## **BONDS, NOTES & OTHER COMMERCIAL PAPER**

P.O. Box 12070

PART 3

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and do NOT include this page in the report.

List all bonds, notes, and other commercial paper held or acquired by you, your spouse, or a dependent child during the calendar year. If sold, indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE.

DESCRIPTION OF INSTRUMENT					
<sup>2</sup> HELD OR ACQUIRED BY	FILER	SPOUSE	DEPENDENT C	HILD	
IF SOLD  NET GAIN  NET LOSS	LESS THAN \$5,000	\$5,000\$9,999	\$10,000 <b>\$24</b> ,999	☐ \$25,000OR MORE	
DESCRIPTION OF INSTRUMENT					
HELD OR ACQUIRED BY	FILER	SPOUSE	DEPENDENT C	HILD	
IF SOLD  NET GAIN  NET LOSS	LESS THAN \$5,000	\$5,000\$9,999	\$10,000 <b>\$24,999</b>	☐\$25,000OR MORE	
DESCRIPTION OF INSTRUMENT					
HELD OR ACQUIRED BY	☐ FILER	SPOUSE	DEPENDENT C	HILD	
IF SOLD  NET GAIN  NET LOSS	LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	☐ \$25,000OR MORE	
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY					

#### **MUTUAL FUNDS**

P.O. Box 12070

PART 4

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and do NOT include this page in the report.

List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE.

providing the number under which the child is listed cirtule bover circle.							
1	MUTUAL FUND		NAME				
				IDEX M	utual Fund		
2	SHARES OF MUTU HELD OR ACQUIRE		☐ FILER	SPOUSE	DEPENDENT CHIL	D	
3	NUMBER OF SHAR		LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999	
OF MOTORET ONE			☐ 5,000 TO 9,999	☐ 10,000 OR MORE			
4	IF SOLD	□ NET GAIN	LESS THAN \$5,000	\$5,000\$9,999	<b>\$10,000\$24,999</b>	\$25,000OR MORE	
MUTUAL FUND				NA	ME		
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY		FILER	SPOUSE	DEPENDENT CHILD			
NUMBER OF SHARES OF MUTUAL FUND		RES	LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999	
	OF MOTORET ONE		☐ 5,000 TO 9,999	10,000 OR MOR	DR MORE		
	IF SOLD	□ NET GAIN	LESS THAN \$5,000	\$5,000\$9,999	<b>\$10,000\$24,999</b>	\$25,000OR MORE	
	MUTUAL FUND			NAME			
				·		•	
	SHARES OF MUTU HELD OR ACQUIRE		FILER	SPOUSE	DEPENDENT CHIL	.D	
NUMBER OF SHARES			LESS THAN 100	☐ 100 TO 499	500 TO 999	1,000 TO 4,999	
OF MUTUAL FUND		☐ 5,000 TO 9,999	☐ 10,000 OR MORE		•		
	IF SOLD	NET GAIN	LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE	
		☐ NET LOSS			<del></del>		
	COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY						

# INCOME FROM INTEREST, DIVIDENDS, ROYALTIES & RENTS PART 5

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, **and do NOT include this** page in the report.

List each source of income you, your spouse, or a dependent child received *in excess of \$500* that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, *see* FORM PFS--INSTRUCTION GUIDE.

<u>'</u>					
SOURCE OF INCOME	SOURCE OF INCOME				
				J	
<sup>2</sup> RECEIVED BY	FILER	SPOUSE	DEPENDENT C	HILD	
3 AMOUNT	<b>\$500\$4,999</b>	\$5,000\$9,999	\$10,000\$24,999	☐\$25,000OR MORE	
SOURCE OF INCOME		NAME AND	DADDRESS		
RECEIVED BY	FILER	SPOUSE	DEPENDENT C	HILD	
AMOUNT	<b>\$500\$4,999</b>	\$5,000\$9,999	\$10,000\$24,999	☐ \$25,000-OR MORE	
SOURCE OF INCOME		NAME AND	DADDRESS		
			÷		
RECEIVED BY	FILER	SPOUSE	☐ DEPENDENT C	HILD	
AMOUNT	<b>\$500\$4,999</b>	<b>\$5,000\$9,999</b>	S10,000\$24,999	☐\$25,000OR MORE	
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY					

#### PERSONAL NOTES AND LEASE AGREEMENTS

PART 6

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, **and do NOT include this** page in the report.

Identify each guaranter of a loan and each person or financial institution to whom you, your spouse, or a dependent child had a total financial liability of more than \$1,000 in the form of a personal note or notes or lease agreement at any time during the calendar year and indicate the category of the amount of the liability. For more information, see FORM PFS--INSTRUCTION GUIDE.

, ,	•			
PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	Mercedes Benz Financial Services			
<sup>2</sup> LIABILITY OF	☐ FILER		DEPENDENT CHILD	
3 GUARANTOR				
4 AMOUNT	\$1,000\$4,999	S5,000\$9,999	☐ \$10,000\$24,999	
PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	Toyota Financial			
LIABILITY OF	FILER	☑ SPOUSE	DEPENDENT CHILD	
GUARANTOR			-	
AMOUNT	\$1,000\$4,999	S5,000\$9,999	☑\$10,000\$24,999 ☐ \$25,000OR MORE	
PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT		Ch	ase	
LIABILITY OF	T FILER	SPOUSE	DEPENDENT CHILD	
GUARANTOR				
AMOUNT	\$1,000\$4,999	<b>\$5,000\$9,999</b>	\$10,000\$24,999 \$25,000OR MORE	
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY				

## PERSONAL NOTES AND LEASE AGREEMENTS

PART 6

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, **and do NOT include this** page in the report.

Identify each guaranter of a loan and each person or financial institution to whom you, your spouse, or a dependent child had a total financial liability of more than \$1,000 in the form of a personal note or notes or lease agreement at any time during the calendar year and indicate the category of the amount of the liability. For more information, see FORM PFS--INSTRUCTION GUIDE.

PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	Velocity		·		
<sup>2</sup> LIABILITY OF	☑ FILER	SPOUSE	DEPENDENT C	HILD	
3 GUARANTOR					
4 AMOUNT	\$1,000\$4,999	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE	
PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	Home Depot				
LIABILITY OF	☑ FILER	SPOUSE	☐ DEPENDENT C	HILD	
GUARANTOR					
AMOUNT	\$1,000\$4,999	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE	
PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT					
LIABILITYOF	☐ FILER	SPOUSE	DEPENDENT C	HILD	
GUARANTOR					
AMOUNT	\$1,000\$4,999	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE	
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY					

#### INTERESTS IN REAL PROPERTY

P.O. Box 12070

PART 7A

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and do NOT include this page in the report.

Describe all beneficial interests in real property held or acquired by you, your spouse, or a dependent child during the calendar year. If the interest was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For an explanation of "beneficial interest" and other specific directions for completing this section, see FORM PFS--INSTRUCTION GUIDE.

· · · · · · · · · · · · · · · · · · ·				
1 HELD OR ACQUIRED BY	FILER	SPOUSE	DEPENDENT CH	HILD
2 STREET ADDRESS  NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS	STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE  2604 SAILBOAT PASS TX 78669			
3 DESCRIPTION  LOTS  ACRES	NUMBER OF LOTS OR ACRES AND NAME OF COUNTY WHERE LOCATED  LOT 17 BLK 4 RANCH SEC 5			CATED
NAMES OF PERSONS RETAINING AN INTEREST  NOT APPLICABLE (SEVERED MINERAL INTEREST)		Marc and	Pamela Ott	
F SOLD ☐ NET GAIN ☐ NET LOSS	☐ LESS THAN \$	5,000 🗆 \$5,000\$9,999	S10,000\$24,999	☐ \$25,000OR MORE
HELD OR ACQUIRED BY	FILER	SPOUSE	DEPENDENT CI	HILD
STREET ADDRESS  NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS		STREET ADDRESS, INCLUDI	NG CITY, COUNTY, AND STATE	
DESCRIPTION  LOTS  ACRES		NUMBER OF LOTS OR ACRES AND	NAME OF COUNTY WHERE LO	CATED
NAMES OF PERSONS RETAINING AN INTEREST  NOT APPLICABLE (SEVERED MINERAL INTEREST)				
IF SOLD  NET GAIN  NET LOSS	□ LESS THAN \$	\$5,000 🗆 \$5,000\$9,999	S10,000\$24,999	□\$25,000OR MORE
CODY A	ND ATTACH AD	DITIONAL DAGES AS	NECESSARY	

#### **INTERESTS IN BUSINESS ENTITIES**

PART 7B

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, **and do NOT include this** page in the report.

Describe all beneficial interests in business entities held or acquired by you, your spouse, or a dependent child during the calendar year. If the interest was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For an explanation of "beneficial interest" and other specific directions for completing this section, see FORM PFS-INSTRUCTION GUIDE.

		•		
1 HELD OR ACQUIRED BY	FILER	SPOUSE	DEPENDENT C	HILD
DESCRIPTION			D ADDRESS ler's Home Address)	
IF SOLD  NET GAIN NET LOSS	☐ LESS THAN \$5	,000	\$10,000\$2 <b>4</b> ,999	☐ \$25,000OR MORE
HELD OR ACQUIRED BY	FILER	SPOUSE	DEPENDENT C	HILD
DESCRIPTION			D ADDRESS ler's Home Address)	
IF SOLD  NET GAIN NET LOSS	LESS THAN \$5	,000	<b>\$10,000\$24,999</b>	☐ \$25,000-OR MORE
HELD OR ACQUIRED BY	FILER	SPOUSE	DEPENDENT C	HILD
DESCRIPTION			D ADDRESS ler's Horne Address).	
IF SOLD  NET GAIN  NET LOSS	☐ LESS THAN \$5	,000	\$10,000 <b>\$24</b> ,999	☐ \$25,000OR MORE
, COPY A	ND ATTACH ADD	DITIONAL PAGES AS	S NECESSARY	

P.O. Box 12070

#### GIFTS PART 8

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and do NOT include this page in the report.

Identify any person or organization that has given a gift worth more than \$250 to you, your spouse, or a dependent child, and describe the gift. The description of a gift of cash or a cash equivalent, such as a negotiable instrument or gift certificate, must include a statement of the value of the gift. Do not include: 1) expenditures required to be reported by a person required to be registered as a lobbyist under chapter 305 of the Government Code; 2) political contributions reported as required by law; or 3) gifts given by a person related to the recipient within the second degree by consanguinity or affinity. For more information, see FORM PFS--INSTRUCTION GUIDE.

providing the number under which the child is listed on the cover sheet.					
1 DONOR	NAME AND ADDRESS				
<sup>2</sup> RECIPIENT	FILER	, ☐ SPOUSE	DEPENDENT CHILD		
3 DESCRIPTION OF GIFT	·	·			
DONOR		NAME A	ND ADDRESS		
RECIPIENT	☐ FILER	SPOUSE	DEPENDENT CHILD		
DESCRIPTION OF GIFT					
DONOR	,	NAME A	ND ADDRESS		
RECIPIENT	FILER	SPOUSE	DEPENDENT CHILD		
DESCRIPTION OF GIFT					
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY					

#### **TRUSTINCOME**

PART 9

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, **and do NOT include this** page in the report.

Identify each source of income received by you, your spouse, or a dependent child as beneficiary of a trust and indicate the category of the amount of income received. Also identify each asset of the trust from which the beneficiary received *more than \$500* in income, if the identity of the asset is known. For more information, *see* FORM PFS--INSTRUCTION GUIDE.

1 SOURCE	NAME OF TRUST			
<sup>2</sup> BENEFICIARY	FILER	SPOUSE	DEPENDENT C	CHILD
3 INCOME	LESS THAN \$5,000	<b>\$5,000\$9,999</b>	\$10,000\$24,999	\$25,000OR MORE
ASSETS FROM WHICH OVER \$500 WAS RECEIVED				
☐ UNKNOWN	·			
SOURCE		NAME C	OF TRUST	
BENEFICIARY	FILER	SPOUSE	DEPENDENT C	CHILD
INCOME	LESS THAN \$5,000	\$5,000 <b>\$9,999</b>	\$10,000\$2 <b>4</b> ,999	\$25,000OR MORE
ASSETS FROM WHICH OVER \$500 WAS RECEIVED	٠			
□ UNKNOWN				
SOURCE		NAME C	F TRUST	
BENEFICIARY	FILER	SPOUSE	DEPENDENT C	HILD
INCOME	LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
ASSETS FROM WHICH OVER \$500 WAS RECEIVED				
UNKNOWN				
COPY A	ND ATTACH ADDITIO	NAL PAGES AS	NECESSARY	

## **BLIND TRUSTS**

PART 10A

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and do NOT include	this
page in the report.	

Identify each blind trust that complies with section 572.023(c) of the Government Code. See FORM PFS--INSTRUCTION GUIDÉ.

F	providing the number under which	ine chila is listed on the C	Juver Stieet.		
1	NAME OF TRUST				
2	TRUSTEE	NAME AND ADDRESS			
3	BENEFICIARY	FILER	SPOUSE	DEPENDENT C	CHILD
4	FAIR MARKET VALUE	LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
5	DATE CREATED				
	NAME OF TRUST				
	TRUSTEE		NAME AN	D ADDRESS	
	BENEFICIARY	☐ FILER	SPOUSE	DEPENDENT (	CHILD
	FAIR MARKET VALUE	LESS THAN \$5,000	\$5,000 <b>\$9,999</b>	\$10,000\$24,999	\$25,000OR MORE
	DATE CREATED				
	NAME OF TRUST				
	TRUSTEE		' NAME AN	D ADDRESS	
	BENEFICIARY	FILER	SPOUSE	☐ DEPENDENT (	CHILD
	FAIR MARKET VALUE	LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
	DATE CREATED				
	COPY A	ND ATTACH ADDITION	NAL PAGES AS	NECESSARY	

#### TRUSTEE STATEMENT

PART 10B

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, **and do NOT include this** page in the report.

An individual who is required to identify a blind trust on Part 10A of the Personal Financial Statement must submit a statement signed by the trustee of each blind trust listed on Part 10A. The portions of section 572.023 of the Government Code that relate to blind trusts are listed below.

		·
1	NAME OF TRUST	
2	TRUSTEE NAME	
3	FILER ON WHOSE BEHALF STATEMENT IS BEING FILED	NAME
4	TRUSTEE STATEMENT	I affirm, under penalty of perjury, that I have not revealed any information to the beneficiary of this trust except information that may be disclosed under section 572.023 (b)(8) of the Government Code and that to the best of my knowledge, the trust complies with section 572.023 of the Government Code.
		Trustee Signature

#### § 572.023. Contents of Financial Statement in General

- (b) The account of financial activity consists of:
  - (8) identification of the source and the category of the amount of all income received as beneficiary of a trust, other than a blind trust that complies with Subsection (c), and identification of each trust asset, if known to the beneficiary, from which income was received by the beneficiary in excess of \$500;
  - (14) identification of each blind trust that complies with Subsection (c), including:
    - (A) the category of the fair market value of the trust;
    - (B) the date the trust was created;
    - (C) the name and address of the trustee; and
    - (D) a statement signed by the trustee, under penalty of perjury, stating that:
      - (i) the trustee has not revealed any information to the individual, except information that may be disclosed under Subdivision (8); and
      - (ii) to the best of the trustee's knowledge, the trust complies with this section.
- (c) For purposes of Subsections (b)(8) and (14), a blind trust is a trust as to which:
  - (1) the trustee:
    - (A) is a disinterested party;
    - (B) is not the individual;
    - (C) is not required to register as a lobbyist under Chapter 305;
    - (D) is not a public officer or public employee; and
    - (E) was not appointed to public office by the individual or by a public officer or public employee the individual supervises; and
  - (2) the trustee has complete discretion to manage the trust, including the power to dispose of and acquire trust assets without consulting or notifying the individual.
- (d) If a blind trust under Subsection (c) is revoked while the individual is subject to this subchapter, the individual must file an amendment to the individual's most recent financial statement, disclosing the date of revocation and the previously unreported value by category of each asset and the income derived from each asset.

www.ethics.state.tx.us Revised 10/24/2013

#### **ASSETS OF BUSINESS ASSOCIATIONS**

PART 11A

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and do NOT include this page in the report.

Describe all assets of each corporation, firm, partnership, limited partnership, limited liability partnership, professional corporation, professional association, joint venture, or other business association in which you, your spouse, or a dependent child held, acquired, or sold 50 percent or more of the outstanding ownership and indicate the category of the amount of the assets. For more information, see FORM PFS--INSTRUCTION GUIDE.

BUSINESS ASSOCIATION	NAME AND ADDRESS  (Check If Filer's Home Address)			
<sup>2</sup> BUSINESS TYPE				
<sup>3</sup> HELD, ACQUIRED, OR SOLD BY	FILER	SPOUSE	DEPENDENT (	CHILD ———
4 ASSETS	DESCRIPTION	NC	CATE	
			LESS THAN \$5,000	\$5,000\$9,999
	·		\$10,000 <b>\$</b> 24,999	☐ \$25,000OR MORE
			LESS THAN \$5,000	\$5,000\$9,999
			\$10,000 <b>\$24,999</b>	□ \$25,000OR MORE
			LESS THAN \$5,000	\$5,000\$9,999
			\$10,000 <b>\$24,999</b>	□ \$25,000OR MORE
			LESS THAN \$5,000	\$5,000\$9,999
			<b>\$10,000\$24,999</b>	☐ \$25,000OR MORE
			LESS THAN \$5,000	<b>\$5,000\$9,999</b>
			\$10,000- <b>-\$24,</b> 999	☐ \$25,000OR MORE
			LESS THAN \$5,000	<b>\$5,000\$9,999</b>
·			\$10,000 <b>\$24</b> ,999	☐ \$25,000OR MORE
			LESS THAN \$5,000	\$5,000 <b>\$9,999</b>
			\$10,000- <b>-\$24</b> ,999	☐ \$25,000OR MORE
			LESS THAN \$5,000	\$5,000\$9,999
			\$10,000\$24,999 `	☐ \$25,000OR MORE
	COPY AND ATTACH AD	DITIONAL PAGES	AS NECESSARY	

#### LIABILITIES OF BUSINESS ASSOCIATIONS

P.O. Box 12070

#### PART 11B

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and do NOT include this page in the report.

Describe all liabilities of each corporation, firm, partnership, limited partnership, limited liability partnership, professional corporation, professional association, joint venture, or other business association in which you, your spouse, or a dependent child held, acquired, or sold 50 percent or more of the outstanding ownership and indicate the category of the amount of the assets. For more information, see FORM PFS--INSTRUCTION GUIDE.

<sup>1</sup> BUSINESS ASSOCIATION	NAME AND ADDRESS  (Check If Filer's Home Address)			
<sup>2</sup> BUSINESS TYPE				
<sup>3</sup> HELD, ACQUIRED, OR SOLD BY	☐ FILER	SPOUSE	☐ DEPENDENT (	CHILD ——
4 LIABILITIES		DESCRIPTION	CATE	
			LESS THAN \$5,000	\$5,000\$9,999
			<b>\$10,000\$24,999</b>	\$25,000OR MORE
			LESS THAN \$5,000	\$5,000\$9,999
			\$10,000\$24,999	☐ \$25,000OR MORE
	·		LESS THAN \$5,000	\$5,000\$9,999
·			<b>\$10,000\$24,999</b>	☐ \$25,000OR MORE
			LESS THAN \$5,000	<b>\$5,000\$9,999</b>
			\$10,000\$24,999	\$25,000OR MORE
		•	LESS THAN \$5,000	\$5,000\$9,999
			\$10,000\$24,999	☐ \$25,000OR MORE
			LESS THAN \$5,000	\$5,000\$9,999
			\$10,000\$24,999	☐ \$25,000OR MORE
	,		LESS THAN \$5,000	\$5,000\$9,999
			\$10,000\$24,999	\$25,000OR MORE
			LESS THAN \$5,000	<b>\$5,000\$9,999</b>
			\$10,000\$24,999	\$25,000OR MORE

#### BOARDS AND EXECUTIVE POSITIONS

**PART 12** 

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and do NOT include this page in the report.

List all boards of directors of which you, your spouse, or a dependent child are a member and all executive positions you, your spouse, or a dependent child hold in corporations, firms, partnerships, limited partnerships, limited liability partnerships, professional corporations, professional associations, joint ventures, other business associations, or proprietorships, stating the name of the organization and the position held. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 ORGANIZATION	Alliance for Innovati	on	
POSITION HELD	Board Member		
3 POSITION HELD BY	□✓ <sub>FILER</sub>	SPOUSE	DEPENDENT CHILD
ORGANIZATION	National League of Cit	ies	
POSITION HELD	Policy Committee: Tra	nsportation & Infrastruc	ture Services Steering Committee
POSITION HELD BY	□ <sub>√FILER</sub>	SPOUSE	DEPENDENT CHILD
ORGANIZATION	The Junior League of A	Austin	
POSITION HELD	Community Advisors		
POSITION HELD BY	□√ <sub>FiLER</sub>	SPOUSE	DEPENDENT CHILD
ORGANIZATION			
POSITION HELD			
POSITION HELD BY	☐ FILER	SPOUSE	DEPENDENT CHILD
ORGANIZATION			
POSITION HELD			
POSITION HELD BY	FILER	SPOUSE	DEPENDENT CHILD
	COPY AND ATTACH	ADDITIONAL PAGES	AS NECESSARY

EXPENSES ACCEPTED UNDER HONORARIUM EXCEPTION

#### P.O. BOX 12070 Austin, Texas 78711-2070 (512) 463-3

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, **and do NOT include this** page in the report.

Identify any person who provided you with necessary transportation, meals, or lodging, as permitted under section 36.07(b) of the Penal Code, in connection with a conference or similar event in which you rendered services, such as addressing an audience or participating in a seminar, that were more than perfunctory. Also provide the amount of the expenditures on transportation, meals, or lodging. You are not required to include items you have already reported as political contributions on a campaign finance report, or expenditures required to be reported by a lobbyist under the lobby law (chapter 305 of the Government Code). For more information, see FORM PFS--INSTRUCTION GUIDE.

1 PROVIDER	NAME AND ADDRESS
	·
2	
<sup>2</sup> AMOUNT	
PROVIDER	NAME AND ADDRESS
	, and the second
AMOUNT	
	NAME AND ADDRESS
PROVIDER	
AMOUNT	
PROVIDER	NAME AND ADDRESS
	•
AMOUNT	
COPY	AND ATTACH ADDITIONAL PAGES AS NECESSARY

(TDD 1-800-735-2989)

PART 13

#### INTEREST IN BUSINESS IN COMMON WITH LOBBYIST

**PART 14** 

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, **and do NOT include this page in the report.** 

Identify each corporation, firm, partnership, limited partnership, limited liability partnership, professional corporation, professional association, joint venture, or other business association, other than a publicly-held corporation, in which you, your spouse, or a dependent child, and a person registered as a lobbyist under chapter 305 of the Government Code that both have an interest. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 BUSINESS ENTITY			ADDRESS	
<sup>2</sup> INTEREST HELD BY	FILER	☐ SPOUSE	DEPENDENT CHILD	
BUSINESS ENTITY		NAMÉ AND	) ADDRESS	
INTEREST HELD BY	☐ FILER	SPOUSE	DEPENDENT CHILD	
BUSINESS ENTITY			ADDRESS	
INTEREST HELD BY	☐ FILER	SPOUSE	DEPENDENT CHILD	
BUSINESS ENTITY		NAME AND	ADDRESS	
·				
INTEREST HELD BY	FILER	SPOUSE	DEPENDENT CHILD	
BUSINESS ENTITY	NAME AND ADDRESS			
INTEREST HELD BY	☐ FILER	SPOUSE	DEPENDENT CHILD	
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY				

#### (TDD 1-800-735-2989)

# FEES RECEIVED FOR SERVICES RENDERED TO A LOBBYIST OR LOBBYIST'S EMPLOYER

**PART 15** 

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, **and do NOT include this** page in the report.

Report any fee you received for provious chapter 305 of the Government Code, sates or reimburses a person required services were provided, and indicate INSTRUCTION GUIDE.	or for providing services I to be registered as a lol	to or on behalf of a bbyist. Report the	person you actually name of each person	know directly compen- n or entity for which the
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED				
FEE CATEGORY	LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	☐\$25,000OR MORE
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	·			·
FEE CATEGORY	LESS THAN \$5,000	<b>\$5,000\$9,999</b>	\$10,000\$24,999	\$25,000OR MORE
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED				
FEE CATEGORY	LESS THAN \$5,000	\$5,000\$9,999	<b>\$10,000\$24,999</b>	\$25,000OR MORE
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED				
FEE CATEGORY	LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	□\$25,000OR MORE
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED				
FEE CATEGORY	LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED				
FEE CATEGORY	LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	□\$25,000OR MORE
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY				

## REPRESENTATION BY LEGISLATOR BEFORE STATE AGENCY

PART 16

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and do NOT include this page in the report.

This section applies only to members of the Texas Legislature. A member of the Texas Legislature who represents a person for compensation before a state agency in the executive branch must provide the name of the agency, the name of the person represented, and the category of the amount of the fee received for the representation. For more information, see FORM PFS--INSTRUCTION GUIDE.

Note: Beginning September 1, 2003, legislators may not, for compensation, represent another person before a state agency in the executive branch. The prohibition does not apply if: (1) the representation is pursuant to an attorney/client relationship in a criminal law matter; (2) the representation involves the filing of documents that involve only ministerial acts on the part of the agency; or (3) the representation is in regard to a matter for which the legislator was hired before September 1, 2003.

1 STATE AGENCY					
PERSON REPRESENTED					
FEE CATEGORY	LESS THAN \$5,000	\$5,000\$9,999	\$10,000 <b>\$24,999</b>	\$25,000OR MORE	
STATE AGENCY					
PERSON REPRESENTED					
FEE CATEGORY	LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE	
STATE AGENCY					
PERSON REPRESENTED		,-			
FEE CATEGORY	LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE	
STATE AGENCY					
PERSON REPRESENTED					
FEE CATEGORY	LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	☐ \$25,000OR MORE	
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY					

# BENEFITS DERIVED FROM FUNCTIONS HONORING PUBLIC SERVANT

PART 17

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and do NOT include this page in the report.

Section 36.10 of the Penal Code provides that the gift prohibitions set out in section 36.08 of the Penal Code do not apply to a benefit derived from a function in honor or appreciation of a public servant required to file a statement under chapter 572 of the Government Code or title 15 of the Election Code if the benefit and the source of any benefit over \$50 in value are: 1) reported in the statement and 2) the benefit is used solely to defray expenses that accrue in the performance of duties or activities in connection with the office which are nonreimbursable by the state or a political subdivision. If such a benefit is received and is not reported by the public servant under title 15 of the Election Code, the benefit is reportable here. For more information, see FORM PFS-INSTRUCTION GUIDE.

SOURCE OF BENEFIT	NAME AND ADDRESS .
2	
BENEFIT	
SOURCE OF BENEFIT	, NAME AND ADDRESS
BENEFIT	
SOURCE OF BENEFIT	NAME AND ADDRESS
BENEFIT	
SOURCE OF BENEFIT	NAME AND ADDRESS
BENEFIT	
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY	

#### LEGISLATIVE CONTINUANCES

PART 18

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and do NOT include this page in the report. Identify any legislative continuance that you have applied for or obtained under section 30.003 of the Civil Practice and Remedies Code, or under another law or rule that requires or permits a court to grant continuances on the grounds that an attorney for a party is a member or member-elect of the legislature. NAME OF PARTY REPRESENTED DATE RETAINED STYLE, CAUSE NUMBER. **COURT & JURISDICTION** DATE OF CONTINUANCE APPLICATION **WAS CONTINUANCE** □ NO **GRANTED?** ☐ YES NAME OF PARTY REPRESENTED **DATE RETAINED** STYLE, CAUSE NUMBER, **COURT, & JURISDICTION** DATE OF CONTINUANCE APPLICATION **WAS CONTINUANCE GRANTED?** □ NO YES

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

#### PERSONAL FINANCIAL STATEMENT AFFIDAVIT

The law requires the personal financial statement to be verified. The verification page must have the signature of the individual required to file the personal financial statement, as well as the signature and stamp or seal of office of a notary public or other person authorized by law to administer oaths and affirmations. Without proper verification, the statement is not considered filed.

> I swear, or affirm, under penalty of perjury, that this financial statement covers calendar year ending December 31, 2013, and is true and correct and includes all information required to be reported by/me under chapter 572 of the Government Code.

Signature of Filer



AFFIX NOTARY STAMP / SEAL ABOVE

Sworn to and subscribed before me, by the said \_\_Marc A. Ott, this the 23rd day of April 2015 to certify which, witness my hand and seal of office.

Signature of officer administering oath