### STATEMENT OF FINANCIAL INFORMATION Reference Section 2-7-72, Austin City Code

### AUSTIN CITY CLERK RECEIVED

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# STATEMENT OF FINANCIAL INFORMATION MAYOR, COUNCIL, CANDIDATES

This form should be filed by City officials -- Mayor, City Council Members, Candidates -- for the period January 1 through December 31, 2011.

This statement must be received by the City Clerk by 4:45 p.m. on the last Friday in April.\*\* The report must be signed under oath. This form may be copied if additional space for reporting is needed. In reporting information required by this form, a City official shall include the same information as it pertains to his or her spouse or domestic partner, by separate listing. However, a separate report for the City Official's spouse or domestic partner is not required.

\*\*Incumbent and non-incumbent candidates must file within five working days after the deadline for filing for their respective offices. However, incumbent candidates are not required to refile if an identical financial statement for the previous year has already been filed.

Where a monetary amount or value of income of an asset is required to be reported, the exact amount need <u>not</u> be reported. The statement may instead include the category of amount as follows:

Category 1	At least \$1 but less than \$10,000;
Category II	At least \$10,000 but less than \$20,000;
Category III	At least \$20,000 but less than \$50,000;
Category IV	At least \$50,000 but less than \$75.000;
Category V	At least \$75.000 but less than \$100,000;
Category VI	\$100,000 or more, report to nearest \$100,000.
Name of City official:	William Glenn Spelman
Address: 3802 Ave	enue F. Austin, TX 78751-5008
Occupation: Col	lege Professor
Spouse or domestic pa	rtner's name: Niyanta Patel Spelman
Address: <u>3802 A</u>	venue F. Austin, TX 78751-5008
Occupation: Nonpr	ofit Executive Director

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1. List all sources of occupational income which exceeded 10% of your gross income or \$5,000 in salary, bonuses, commissions or professional fees; or \$20,000 in payment for goods, products or non-professional services per source.

Name of employer or source of income	Business address	Nature of occupation or business	Category of amount
University of Texas	LBJ School of Public Affairs 2315 Red River St. 78705	Education	V

2. If you are a self-employed solo practitioner or if you had at least 5% interest in a partnership, professional corporation or other entity through which you do business, list the names and addresses of clients or customers from whom you or this partnership, professional corporation, or entity received 10% or more of gross income or \$5,000 in salary, bonuses, commissions or professional fees; or \$20,000 in payment for goods, products or non-professional services during the reporting period.

Name of client or customer	Address

3. List all sources of income which exceeded either \$5,000 or were in excess of 10% of your gross income received from interest, dividends, royalties, rents, trust disbursements or other non-occupational sources.

Name of source	Nature of income	Category of amount
Garage Apartment, 3802 Ave F, Austin, TX 78751-5008	Rent	I
1712 E 38 <sup>th</sup> St., Austin, TX 78723	Rent	Π
1200 East 11 <sup>th</sup> St., #209 Austin, TX 78702	Rent	I

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4. Identify any source (person, business entity or other organization) of a gift of any money or other thing of value exceeding \$100, or identify any source who gave you a series of gifts the total value of which exceeds \$100. You need not report campaign contributions which are reported as required by other law and you need not report gifts received from the following relatives: Spouse, Children, Children-in-Law, Parents, Parents-in-Law, Grandchildren, Grandchildren-in-Law, Grandparents, Grandparents-in-Law, Brothers, Brothers-in-Law, Sisters, Sisters-in-Law, Uncles, Uncles-in-Law, Aunts, Aunts-in-Law, Nephews, Nephews-in-Law, Nieces, Nieces-in-Law, First Cousins, First Cousins-in-Law

Name of source of gift	Category amount	Category amount	

5. List the names of any corporation, partnership, limited partnership, or other entity in which you held, owned, acquired, or sold stock, or any other equity ownership having a value exceeding \$5,000 or equivalent to 5% or more of the stock or equity in the entity, at any time during the reporting period.

Name of company or entity:
Weatherford International, Ltd.
BHP Billinton, Ltd.
Cummins Engine Co.
Oracle Corp.
Pohang Iron & Steel Co.
Noble Corp.
Procter & Gamble
Macquarie Infrastructure Co.
Boston Scientific Corp.
General Electric Corp.
Cemex SAB de CV.
Rockwell Collins, Inc.
Chesapeake Energy Corp.
SPDR Gold Trust

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6. List and describe all bonds, notes and other commercial paper which you held, owned, acquired or sold, at any time during the reporting period if the combined face value of the bonds, notes and commercial paper exceeded \$5,000.

Description of commercial paper	Category of amount
Chicago O'Hare International Airport	I
(municipal bond)	
General Motors Acceptance Corp. (corporate	I
bond)	

7. List all other income or revenue in excess of \$5,000 per source.

Name of source	Category of amount	

8. List and describe all real property in which you hold any legal or beneficial interest, including real property for which you have entered into a contract for sale. The description should be sufficient to locate the property, and include the street address if any, and the present use of the property.

Street address of property	Description of property	Present use of property
3802 Avenue F, Austin, TX	Single family house & garage	Residence; rental unit
78751-5008	apartment	
1712 E 38 <sup>th</sup> St., Austin, TX	Single-family house	Rental property
78723		
1200 East 11th St., Austin, TX	Condominium	Rental property
78702		
Four Tracts in Irion County, TX	Undeveloped range land	Cattle ranch
-J.H. Lindley, Tract 36, A.777		
-Block 27, Tract 20, A.1012		
-H&TC Railroad Co., Block 2,		
Tract 18 A.1059		
-H&TC Railroad Co., Block 1,		
Tract 60, A.964		

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9. List and describe all real property held, owned, acquired or sold, or under a contract for sale, by a corporation, partnership, limited partnership, professional corporation, or other entity in which you own or control at least a 5% interest. The description should be sufficient to locate the property and include a street address, if any, and the present use of the property.

Street address of property	Description of property	Present use of property

10. List all loans and extensions of credit in excess of \$5,000 on which you are the lender or creditor, including the name of the debtor and the rate of interest, if any.

Name of obligee	Rate of interest, if any	Category of amount

11. List all loans or transactions in excess of \$5,000 on which you are a guarantor or co-signer, including the names of the borrower and lender.

Name of obligee/lender	Rate of interest, if any	Category of amount

12. List all loans, debts, and other financial liabilities you have which are in excess of \$5,000 which are presently outstanding or which existed at any time during the reporting period.

Name of	Rate of interest, if any	Category of amount	Date obligation was
lender/creditor/obligee			incurred
Wells Fargo Home	5.750%	VI .	20 August 1997
Mortgage			
Bank of America	5.237%	VI	3 May 2005
Citibank Mastercard	18%	I	Throughout year

Approved by the Ethics Review Commission 4/13/2010 Page 5 of 6