# PERSONAL FINANCIAL STATEMENT

FORM PFS
COVER SHEET

			COVER SHEET
	dance with chapter 572 of the Government Code.	PAGE#	ja 1 of 28
•	013, covering calendar year ending December 31, 2012.  - INSTRUCTION GUIDE when completing this form.	ACCOUNT #	
1 NAME	TITLE, FIRST, M	OFFICE	USE ONLY
	Ms. Laura  NICKNAME, LAST, SUFFIX  Morrison	13 AP	AUSTIN
2 ADDRESS	040 0-1-1-10	15	RECE
	610 Baylor St. Austin, TX 78703		
		HD/PM =3 C	Amount Fiz
	(CHECK IF FILER'S HOME ADDRESS)	Date Processer	*
3 TELEPHONE NUMBER	AREA CODE NUMBER: EXTENSION	Date imaged	
NOMBER	(512) 494-8702		
4 REASON FOR FILING STATEMENT	☐ APPOINTED OFFICER EXECUTIVE HEAD FORMER OR RETIRED JUDGE SITTING BY ASSIGNMENT ☐ STATE PARTY CHAIR OTHER		(INDICATE OFFICE) (INDICATE AGENCY) (INDICATE AGENCY) (INDICATE PARTY) (INDICATE POSITION)
r billing members whose	financial activity you are reporting (filer must report information abouildren if the filer had actual control over that activity):	it the financial activ	rity of the filer's
SPOUSE	Philip Morrison		
DEPENDENT CHILD 1	· <del></del>		
,			
-			
3	·		

In parts 1 through 18, you will disclose your financial activity during the calendar year. In parts 1 through 14, you are required to disclose not only your own financial activity, but also that of your spouse or a dependent child if you had actual control over that person's financial activity.

	ox 12070 Austin, Texas 7	<del>-</del>	(512)463-5800		325-850
SOURCES OF OCCU	JPATIONAL INC	OME		PART	1 <b>A</b>
☐ NOT APPLICABLE	•				
When reporting information about providing the number under which	a dependent child's activity the child is listed on the Co	indicate the child a ver Sheet.	bout whom you are reporting	by	
1 INFORMATION RELATES TO	☑ FILER [	SPOUSE	☐ DEPENDENT CHILD	,	
2 EMPLOYMENT	NAME A	ND ADDRESS OF EM	PLOYER / POSITION HELD		
		(Check if Filer's	Home Address)		
MEMPLOYED BY ANOTHER	City of Austin				
	301 W. 2nd St. Austin, TX 78701				
	Austin City Council Memb	er, Place 4			
SELF-EMPLOYED	City Council Member	NATURE OF O	CCUPATION		
INFORMATION RELATES TO	☐ FILER [	SPOUSE	☐ DEPENDENT CHILD		· ·
EMPLOYMENT	NAME A	ND ADDRESS OF EMI	PLOYER / POSITION HELD		
	,	(Check if Filer's	Home Address)		
EMPLOYED BY ANOTHER	University of Texas				
į	Physics Dept. 1 University Station Austin, TX 78712		•		
	Professor				
SELF-EMPLOYED		NATURE OF O	CCUPATION		
,	Professor				
	<del></del>				
1					
! •		·			
! !					
! ! !					
		·			

□					PART 2
☐ NOT APPLICABLE	Ē				
List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the emount of the net gain or loss reelized from the sale. For more information, see FORM PFS—INSTRUCTION GUIDE.					t, also indicate the
When reporting information providing the number un				bout whom you are re	eporting by
1 BUSINESS ENTITY		American Electric Pow		MË .	
2 STOCK HELD OR AC	QUIRED BY	X FILER	X SPOUSE	DEPENDENT CHILI	D
3 NUMBER OF SHARE	S	X LESS THAN 100  ☐ 5,000 TO 9,999	100 TO 499 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
II OOLD	NET GAIN	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000-OR MORE
BUSINESS ENTITY		ANADARKO PETROLI		ME	
STOCK HELD OR AC	CQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARE	:8	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	X 500 TO 999	1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		BHP Billiton ADR New	NA	ME	
STOCK HELD OR AC	CQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARE	S	IX LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
0025	NET GAIN	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
0025			\$5,000 - \$9,999 NA		\$25,000OR MORE
5525	NET LOSS	LESS THAN \$5,000			
BUSINESS ENTITY	NET LOSS	LESS THAN \$5,000	NA.	ME	
BUSINESS ENTITY  STOCK HELD OR AC	NET LOSS	□ LESS THAN \$5,000  Berkshire Hathaway  □ FILER □ LESS THAN 100	NA.  SPOUSE  100 TO 499	ME DEPENDENT CHIL	D 1,000 TO 4,999
BUSINESS ENTITY  STOCK HELD OR ACT	QUIRED BY	□ LESS THAN \$5,000  Berkshire Hathaway  □ FILER □ LESS THAN 100 □ 5,000 TO 9,999	NA  IX SPOUSE  IX 100 TO 499  IX 10,000 OR MORE	DEPENDENT CHILI 500 TO 999 \$10,000 - \$24,999	D 1,000 TO 4,999
BUSINESS ENTITY  STOCK HELD OR AC  NUMBER OF SHARE	QUIRED BY  S  NET GAIN  NET LOSS	LESS THAN \$5,000  Berkshire Hathaway  FILER  LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000	NA.  IX SPOUSE  IX 100 TO 499  IX 10,000 OR MORE  IX 55,000 - \$9,999	DEPENDENT CHILI 500 TO 999 \$10,000 - \$24,999	D 1,000 TO 4,999
BUSINESS ENTITY  STOCK HELD OR AC  NUMBER OF SHARE  IF SOLD  BUSINESS ENTITY	QUIRED BY  S  NET GAIN NET LOSS	LESS THAN \$5,000  Berkshire Hathaway  FILER  LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  Cisco Systems Inc	NA  IX SPOUSE  IX 100 TO 499  IX 10,000 OR MORE  IX \$5,000 - \$9,999  NA	DEPENDENT CHILI 500 TO 999 \$10,000 - \$24,999	D 1,000 TO 4,999
BUSINESS ENTITY  STOCK HELD OR AC  NUMBER OF SHARE  IF SOLD  BUSINESS ENTITY  STOCK HELD OR AC	QUIRED BY  S  NET GAIN NET LOSS	□ LESS THAN \$5,000  Berkshire Hathaway  □ FILER □ LESS THAN 100 □ 5,000 TO 9,999 □ LESS THAN \$5,000  Cisco Systems inc □ FILER □ LESS THAN 100	NA  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  SPOUSE  100 TO 499	DEPENDENT CHILI  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHILI	D 1,000 TO 4,999  \$25,000-OR MORE

STOCK					PARŢ 2
☐ NOT APPL	ICABLE	•			
List each business entity in which you, your spouse, or a dependent child held or acquired stock during the caleridar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS—INSTRUCTION GUIDE.					
	When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.				
1 BUSINESS EN	TITY	Cymer Inc	N.A	ME	
2 STOCK HELD	OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHIL	<u> </u>
3 NUMBER OF S	SHARES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	100 TO 499	☐ 500 TO 999	X 1,000 TO 4,999
4 IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS EN	TITY	Dell Inc	N.A	ME	
STOCK HELD	OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	o
NUMBER OF S	SHARES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000-OR MORE
BUSINESS EN	TITY	Dreyfus Munic Income		ME	
	OR ACQUIRED BY	Dreyfus Munic Income		DEPENDENT CHIL	D
	OR ACQUIRED BY		Inc	DEPENDENT CHIL	D 1,000 TO 4,999
STOCK HELD	OR ACQUIRED BY	☐ LESS THAN 100	Inc SPOUSE IN 100 TO 499	DEPENDENT CHIL	
STOCK HELD NUMBER OF S	OR ACQUIRED BY HARES    NET GAIN   NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999	Inc  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD NUMBER OF S IF SOLD BUSINESS EN	OR ACQUIRED BY HARES    NET GAIN   NET LOSS	LESS THAN 100   5,000 TO 9,999   LESS THAN \$5,000	Inc  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD NUMBER OF S IF SOLD BUSINESS EN	OR ACQUIRED BY HARES  NET GAIN NET LOSS  TITY  OR ACQUIRED BY	LESS THAN 100 5,000 TO 9,999 LESS THAN \$5,000 Fedex Corp	Inc  IX SPOUSE  IX 100 TO 499  ☐ 10,000 OR MORE  ☐ \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD  NUMBER OF S  IF SOLD  BUSINESS EN	OR ACQUIRED BY HARES  NET GAIN NET LOSS  TITY  OR ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 Fedex Corp ☐ FILER ☐ LESS THAN 100	Inc  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  SPOUSE  100 TO 499	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999
STOCK HELD ON NUMBER OF SELECTION OF SELECTI	OR ACQUIRED BY HARES  NET GAIN NET LOSS  TITY  OR ACQUIRED BY SHARES  NET GAIN NET LOSS	X   FILER   LESS THAN 100   5,000 TO 9,999   LESS THAN \$5,000     Fedex Corp   X   FILER   LESS THAN 100   5,000 TO 9,999	Inc    SPOUSE   100 TO 499   10,000 OR MORE   \$5,000 - \$9,999   NA   SPOUSE   100 TO 499   10,000 OR MORE   \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999
STOCK HELD  NUMBER OF S  IF SOLD  BUSINESS EN  STOCK HELD  NUMBER OF S  IF SOLD  BUSINESS EN	OR ACQUIRED BY HARES  NET GAIN NET LOSS  TITY  OR ACQUIRED BY SHARES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 Fedex Corp ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	Inc    SPOUSE   100 TO 499   10,000 OR MORE   \$5,000 - \$9,999   NA   SPOUSE   100 TO 499   10,000 OR MORE   \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999	1,000 TO 4,999  \$25,000—OR MORE  1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD  NUMBER OF S  IF SOLD  BUSINESS EN  STOCK HELD  NUMBER OF S  IF SOLD  BUSINESS EN	OR ACQUIRED BY HARES  NET GAIN NET LOSS  TITY  OR ACQUIRED BY SHARES  NET GAIN NET LOSS  TITY  OR ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 Fedex Corp ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 GENERAL ELECTRIC	Inc    SPOUSE   100 TO 499   10,000 OR MORE   \$5,000 - \$9,999   NA   SPOUSE   100 TO 499   10,000 OR MORE   \$5,000 - \$9,999   COMPANY	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE  1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD ON NUMBER OF STOCK HELD ON NUMBER OF STOCK HELD ON NUMBER OF STOCK HELD ON NUMBER OF STOCK HELD ON STOCK HE STOCK HELD ON	OR ACQUIRED BY HARES  NET GAIN NET LOSS  TITY  OR ACQUIRED BY SHARES  NET GAIN NET LOSS  TITY  OR ACQUIRED BY	X   FILER   LESS THAN 100   5,000 TO 9,999   LESS THAN \$5,000   Fedex Corp   X   FILER   LESS THAN \$5,000   LESS THAN \$5,000   GENERAL ELECTRIC   X   FILER   LESS THAN 100   LESS THAN \$5,000   LESS TH	Inc    SPOUSE   100 TO 499   10,000 OR MORE   10,000 OR MORE   100 TO 499   10,000 OR MORE   10,000 OR MORE   100 TO 499   10,000 OR MORE   100 TO 499   10,000 OR MORE   100 TO 499   10,000 OR MORE   10,000 OR	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE  1,000 TO 4,999  \$25,000—OR MORE  1,000 TO 4,999

#### PART 2 STOCK ☐ NOT APPLICABLE List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS-INSTRUCTION GUIDE. When reporting information ebout a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME <sup>1</sup> BUSINESS ENTITY INTL BUSINESS MACHINES X SPOUSE □ DEPENDENT CHILD 2 STOCK HELD OR ACQUIRED BY X FILER 3 NUMBER OF SHARES LESS THAN 100 X 100 TO 499 ☐ 500 TO 999 1,000 TO 4,999 5,000 TO 9,999 ■ 10,000 OR MORE ■ NET GAIN 4 IF SOLD \$10,000 - \$24,999 \$25,000--OR MORE LESS THAN \$5,000 T \$5,000 - \$9,999 ☐ NET LOSS **BUSINESS ENTITY** Ishares Trust S&P 500 Index Fund X FILER X SPOUSE ☐ DEPENDENT CHILD STOCK HELD OR ACQUIRED BY NUMBER OF SHARES ☐ 500 TO 999 X 1,000 TO 4,999 LESS THAN 100 ☐ 100 TO 499 ☐ 10,000 OR MORE 5,000 TO 9,999 ■ NET GAIN IF SOLD LESS THAN \$5,000 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000--OR MORE ■ NET LOSS NAME **BUSINESS ENTITY** Ishares Russell 1000 Value Index Fund STOCK HELD OR ACQUIRED BY X FILER X SPOUSE ☐ DEPENDENT CHILD NUMBER OF SHARES 1,000 TO 4,999 LESS THAN 100 ☐ 100 TO 499 X 500 TO 999 5,000 TO 9,999 ☐ 10,000 OR MORE ■ NET GAIN IF SOLD LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000--OR MORE ■ NET LOSS NAME **BUSINESS ENTITY** Ishares Trust Russell 2000 Index Fund X SPOUSE DEPENDENT CHILD STOCK HELD OR ACQUIRED BY X FILER NUMBER OF SHARES X LESS THAN 100 ☐ 100 TO 499 500 TO 999 1,000 TO 4,999 5,000 TO 9,999 10,000 OR MORE ■ NET GAIN IF SOLD LESS THAN \$5,000 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** Ishares Trust Russell Midcap Index Fund X FILER X SPOUSE DEPENDENT CHILD STOCK HELD OR ACQUIRED BY NUMBER OF SHARES LESS THAN 100 X 100 TO 499 ☐ 500 TO 999 1,000 TO 4,999 5,000 TO 9,999 ☐ 10,000 OR MORE ■ NET GAIN IF SOLD ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000--OR MORE ■ NET LOSS COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

Texas Ethics Commis.	sion P.O. Box 12	070 Austin, Texas 7	8711-2070	(512)463	-5800 1-800-325-850 PART <b>2</b>
STOCK    NOT APPL	ICABLE				· FARI 2
and indicate the	category of the number mount of the net gain	your spouse, or a depo er of shares held or acq or loss realized from th	uired. If some or al	l of the stock was sol	d, also indicate the
		pendent child's activity child is listed on the Co		bout whom you are re	eporting by
1 BUSINESS EN	ITITY	JPMORGAN CHASE		ME	
2 STOCK HELD	OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHIL	D
3 NUMBER OF	SHARES	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	X 500 TO 999 ∷	☐ 1,000 TO 4,999
4 IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000-OR MORE
BUSINESS EN	ITITY	SPDR S&P 500 Midca		ME	
STOCK HELD	OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHIL	D
NUMBER OF	SHARES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	□ 500 TO 999	1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999	\$25,000-OR MORE
BUSINESS EN	TITY 	Pfizer	N.A	ME	
STOCK HELD	OR ACQUIRED BY	☑ FILER	X SPOUSE	DEPENDENT CHIL	.D
NUMBER OF	HARES	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000-OR MORE
BUSINESS EN	ITITY	Partnerre LTD	NA NA	ME	
STOCK HELD	OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHIL	D
NUMBER OF	SHARES	<ul><li>X LESS THAN 100</li><li>☐ 5,000 TO 9,999</li></ul>	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000—OR MORE
BUSINESS EN	TITY	AT&T Inc	NA NA	ME	

AT&T Inc

X FILER

X LESS THAN 100

5,000 TO 9,999

STOCK HELD OR ACQUIRED BY

■ NET GAIN

■ NET LOSS

NUMBER OF SHARES

IF SOLD

☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000—OR MORE

DEPENDENT CHILD

1,000 TO 4,999

☐ 500 TO 999

X SPOUSE

☐ 100 TO 499

☐ 10,000 OR MORE

Texas Ethics Commission	P.O. Box 12	O70 Austin, Texas 7	8711-2070	(512)463	-5800	1-800-32	5-850
<b>STOCK</b>						PART	2
☐ NOT APPLICABLE	E						
List each business entity and indicate the category category of the amount INSTRUCTION GUIDE.	ry of the number of the net gain	er of shares held or acc	juired. If some or all	of the stock was sol	d, also indic		
When reporting informa providing the number ur				bout whom you are re	eporting by		
1 BUSINESS ENTITY		HCP Inc	NA	ME			
2 STOCK HELD OR AC	CQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHIL	D		
3 NUMBER OF SHARE	S	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 T	0 4,999	
4 IF SOLD	NET GAIN	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000	-OR MO	RE
BUSINESS ENTITY		GABELLI EQUITY	NA	ME			
STOCK HELD OR AC	QUIRED BY	X FILER	X SPOUSE	DEPENDENT CHIL	D		
NUMBER OF SHARE	is .	LESS THAN 100	<ul><li>I 100 TO 499</li><li>I 10,000 OR MORE</li></ul>	☐ 500 TO 999	1,000 TO	D 4,999	
	X NET GAIN NET LOSS	∠ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - <b>\$24</b> ,999	\$25,000	-OR MO	RE
·	COPY A	ID ATTACH ADDITION	ONAL PAGES AS I	NECESSARY			

BONDS, NOTES & OTHER COMMERCIAL PAPER PA						
□ NOT APPLICABLE						
List all bonds, notes and other commercial paper held or acquired by you, your spouse, or a dependent child during the calendar year. If sold, indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS-INSTRUCTION GUIDE						
When reporting information abore providing the number under whi	•		about whom you are reporting by			
1 DESCRIPTION OF INSTRUMENT	CITIGROUP CAP TR IX					
<sup>2</sup> HELD OR ACQUIRED BY						
	[X] FILER	X SPOUSE	DEPENDENT CHILD			
3 IF SOLD			-			
☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000—OR MORE			
DESCRIPTION OF INSTRUMENT	MORGAN STNLY CAP					
HELD OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHILD			
IF SOLD    NET GAIN   NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE			
DESCRIPTION OF INSTRUMENT	S P D R TRUST UNIT SR 1		,			
HELD OR ACQUIRED BY	<b>⊠</b> FILER	X SPOUSE	DEPENDENT CHILD			
IF SOLD  NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000—OR MORE			
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY						

☐ NET LOSS

Texas Ethics Commission P.O. Bo	x 12070 Austin, Texas 7	<u>8711-20</u> 70	(512)463	-5800	1-800-325-850
MUTUAL FUNDS		_			PART 4
NOT APPLICABLE					
List each mutual fund and the num acquired during the calendar year some or all of the shares of a mutu from the sale. For more informatio When reporting information about a providing the number under which	and indicate the category of all fund were sold, also ind an, see FORM PFS—INSTR a dependent child's activity	of the number of sha icate the category of RUCTION GUIDE 1, indicate the child a	res of mutual funds h f the amount of the no	eld or acqui et gain or lo	ired. If
<sup>1</sup> MUTUAL FUND	AMERICAN Funds Amer	NA ican BALANCED Fur			
<sup>2</sup> SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHIL	.D	
3 NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	□ 500 TO 999	X 1,000 T	O 4,999
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000	OR MORE
MUTUAL FUND -	American Funds Growth	NA Fund of America Inc	MÉ		
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	.D	
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499  ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 Te	O <b>4,9</b> 99
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000	-OR MORE
MUTUAL FUND	Investment Company of A	NAI America Fund	ME		
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	<b>⊠</b> FILER	X SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499	☐ 500 TO 999	<b>⊠</b> 1,000 T0	O 4,999
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000	-OR MORE
200	Y AND ATTACH ADDITION	ONAL DACES AS	NECECCARY		

#### PART 4 MUTUAL FUNDS ■ NOT APPLICABLE List each mutual fund and the number of shares in thet mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME <sup>1</sup> MUTUAL FUND AMERICAN Funds INCOME FUND OF AMERICA 2 SHARES OF MUTUAL FUND X SPOUSE DEPENDENT CHILD \_ **HELD OR ACQUIRED BY** X FILER 3 NUMBER OF SHARES LESS THAN 100 ☐ 100 TO 499 ☐ 500 TO 999 X 1,000 TO 4,999 OF MUTUAL FUND 5,000 TO 9,999 ☐ 10,000 OR MORE 4 IF SOLD ☐ NET GAIN ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000--OR MORE ☐ NET LOSS NAME **MUTUAL FUND** AMERICAN Funds CAPITAL INCOME BUILDER SHARES OF MUTUAL FUND X FILER X SPOUSE ☐ DEPENDENT CHILD \_\_ HELD OR ACQUIRED BY NUMBER OF SHARES LESS THAN 100 ☐ 100 TO 499 500 TO 999 X 1,000 TO 4,999 OF MUTUAL FUND ☐ 10,000 OR MORE 5,000 TO 9,999 IF SOLD ☐ NET GAIN ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000-OR MORE ■ NET LOSS **MUTUAL FUND** AMERICAN Funds CAPITAL WORLD GROWTH & INCOME Fund SHARES OF MUTUAL FUND HELD OR ACQUIRED BY X FILER X SPOUSE ☐ DEPENDENT CHILD \_\_ **NUMBER OF SHARES** LESS THAN 100 ☐ 100 TO 499 ☐ 500 TO 999 X 1,000 TO 4,999 OF MUTUAL FUND 5,000 TO 9,999 ■ 10,000 OR MORE IF SOLD ☐ NET GAIN ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000--OR MORE ☐ NET LOSS

	Texas Ethics Commission P.O. Bo	v 12070 Auglio Tayas 7	P744 2070	(540)460	E000 4 000 00T 050
	MUTUAL FUNDS	x 12070 Austin, Texas 7	6/11-20/0_	(512)463-	5800 1-800-325-850 PART 4
	□ NOT APPLICABLE				FART 4
	List each mutual fund and the num acquired during the calendar year a some or all of the shares of a mutu from the sale. For more information When reporting information about a providing the number under which	and indicate the category of al fund were sold, also ind n, see FORM PFS—INSTR a dependent child's activity	of the number of shall icate the category of CUCTION GUIDE , indicate the child a	res of mutual funds he the amount of the ne	eld or acquired. If t gain or loss realized
	<sup>1</sup> MUTUAL FUND	ISHARES DJ SELECT D	NAI IV FDSELECT DIVID	·· <del>-</del>	
	<sup>2</sup> SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHILI	·
	3 NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
	4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	MUTUAL FUND	Ishares DTR MSCI EAFE	NAI E Fund	ME	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHIL	D
	NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100 ☐ 5,000 TO 9,999	100 TO 499	☐ 500 TO 999	1.000 TO 4,999
	IF SOLD   NET GAIN   NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000-OR MORE
	MUTUAL FUND	AlM Mid Cap Core Equity	NAM Fund		
ĺ	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILI	

LESS THAN 100

5,000 TO 9,999

NUMBER OF SHARES

OF MUTUAL FUND

IF SOLD

# □ NET GAIN □ LESS THAN \$5,000 □ \$5,000 - \$9,999 □ \$10,000 - \$24,999 □ \$25,000 - OR MORE □ NET LOSS □ COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

100 TO 499

☐ 10,000 OR MORE

X 500 TO 999

1,000 TO 4,999

MUTUAL FUNDS				PART 4
☐ NOT APPLICABLE				
List each mutual fund and the number acquired during the calendar year a some or all of the shares of a mutual from the sale. For more information When reporting information about a providing the number under which the sale.	ind indicate the category of al fund were sold, also indi n, see FORM PFSINSTR dependent child's activity	of the number of sha icate the category of LUCTION GUIDE , indicate the child a	res of mutual funds h the amount of the no	eld or acquired. If at gain or loss realized ,
1 MUTUAL FUND	DWS Global Internationa	NAI I Fund Inc Global Opp	·· <del>-</del>	
<sup>2</sup> SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	.D
3 NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	X 500 TO 999	☐ 1,000 TO 4,999
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000-OR MORE
MUTUAL FUND	MEDAGLE	NAI	ME	=
	MFS Value Fund			
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	a
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	X 500 TO 999	1,000 TO 4,999
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	New World Fund	NAI	ME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	.D <u>·                                     </u>
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499  ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000-OR MORE
СОРУ	AND ATTACH ADDITION	ONAL PAGES AS	NECESSARY	

MUTUAL FUNDS				PART 4	
□ NOT APPLICABLE .					
List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFSINSTRUCTION GUIDE  When reporting information about a depandent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.					
<sup>1</sup> MUTUAL FUND	OPPENHEIMER DEVEL	NA OPING Markets Fund	•		
<sup>2</sup> SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHIL	D	
3 NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999	
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999	\$25,000OR MORE	
MUTUAL FUND	OPPENHEIMER INT'L B	NA	ME		
i ·	OFFERNILIMEN INTES				
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999 E	1,000 TO 4,999	
IF SOLD	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000-OR MORE	
MUTUAL FUND	OPPENHEIMER INTER	NA national DIVERsified I			
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHIL		
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	区 1,000 TO 4,999	
IF SOLD NET GAIN	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000-OR MORE	
COP	AND ATTACH ADDITION	ONAL PAGES AS	NECESSARY		

#### PART 4 MUTUAL FUNDS ■ NOT APPLICABLE List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gein or loss realized from the sale. For more information, see FORM PFS-INSTRUCTION GUIDE When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME <sup>1</sup> MUTUAL FUND OPPENHEIMER SENIOR FLOATING RATE Fund 2 SHARES OF MUTUAL FUND X SPOUSE DEPENDENT CHILD \_\_\_\_ **HELD OR ACQUIRED BY ▼** FILER 3 NUMBER OF SHARES ☐ 500 TO 999 1,000 TO 4,999 LESS THAN 100 ☐ 100 TO 499 OF MUTUAL FUND X 5,000 TO 9,999 ☐ 10,000 OR MORE 4 IF SOLD ■ NET GAIN ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000--OR MORE ☐ NET LOSS NAME **MUTUAL FUND** OPPENHEIMER Limited Term Municipal Fund SHARES OF MUTUAL FUND **HELD OR ACQUIRED BY** X FILER X SPOUSE DEPENDENT CHILD \_\_\_\_\_ NUMBER OF SHARES LESS THAN 100 ☐ 100 TO 499 ☐ 500 TO 999 X 1,000 TO 4,999 OF MUTUAL FUND 5,000 TO 9,999 ☐ 10,000 OR MORE IF SOLD NET GAIN ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000-OR MORE ☐ NET LOSS **MUTUAL FUND** OPPENHEIMER STRATEGIC INCome Fund SHARES OF MUTUAL FUND X FILER X SPOUSE DEPENDENT CHILD \_\_\_\_\_ HELD OR ACQUIRED BY NUMBER OF SHARES LESS THAN 100 100 TO 499 ☐ 500 TO 999 1,000 TO 4,999 OF MUTUAL FUND 5,000 TO 9,999 X 10,000 OR MORE IF SOLD ☐ NET GAIN ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000-OR MORE ☐ NET LOSS

MUTUAL FUNDS				PART 4
☐ NOT APPLICABLE				
List each mutual fund and the number acquired during the calendar year a some or all of the shares of a mutual from the sale. For more information When reporting information about a providing the number under which the sale.	and indicate the category o al fund were sold, also indi n, see FORM PFSINSTR n dependent child's activity	of the number of shalicate the category of NUCTION GUIDE Indicate the child a	res of mutual funds he f the amount of the ne	eld or acquired. If at gain or loss realized
1 MUTUAL FUND	PIMCO EMERGING MAR	NAI RKETS BOND Fund	ME	
<sup>2</sup> SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHIL	a.
3 NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	□ 500 TO 998	X 1,000 TO 4,999
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000-OR MORE
MUTUAL FUND	NAME PIMCO Investment Grade Corporate Bond			
j - 1	FINOC IIIVOSIIIOIII CIESS		·	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHIL	.b
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	PIMCO COMModity REA	NAI L RETURN Fund	ME .	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499	☐ 500 TO 999 :	X 1,000 TO 4,999
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000-OR MORE
COPY	AND ATTACH ADDITION	DNAL PAGES AS	NECESSARY	-

#### PART 4 MUTUAL FUNDS ☐ NOT APPLICABLE List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS-INSTRUCTION GUIDE When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME <sup>1</sup> MUTUAL FUND PIMCO Global Multi-Asset Fund 2 SHARES OF MUTUAL FUND X SPOUSE X FILER DEPENDENT CHILD \_\_ HELD OR ACQUIRED BY 3 NUMBER OF SHARES X 1,000 TO 4,999 LESS THAN 100 ☐ 100 TO 499 ☐ 500 TO 999 OF MUTUAL FUND ■ 10,000 OR MORE 5,000 TO 9,999 4 IF SOLD ■ NET GAIN ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000--OR MORE ■ NET LOSS NAME MUTUAL FUND PIMCO Developing Local Markets Fund SHARES OF MUTUAL FUND X SPOUSE DEPENDENT CHILD \_\_\_\_\_ HELD OR ACQUIRED BY X FILER NUMBER OF SHARES ☐ 100 TO 499 500 TO 999 X 1,000 TO 4,999 LESS THAN 100 OF MUTUAL FUND ■ 10,000 OR MORE 5,000 TO 9,999 IF SOLD ■ NET GAIN ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000—OR MORE ■ NET LOSS NAME **MUTUAL FUND** PIMCO REAL RETURN Fund SHARES OF MUTUAL FUND X SPOUSE DEPENDENT CHILD \_\_\_ HELD OR ACQUIRED BY X FILER NUMBER OF SHARES LESS THAN 100 ☐ 100 TO 499 500 TO 999 1,000 TO 4,999 OF MUTUAL FUND X 5,000 TO 9,999 ☐ 10,000 OR MORE IF SOLD ■ NET GAIN ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000-OR MORE ☐ NET LOSS COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

Texas Ethics Commission	P.O. Box 12070	Austin, Texas 7	<u>8711-20</u> 70	(512)463	-5800	1-800-325-850
MUTUAL FUNDS						PART 4
☐ NOT APPLICABLE						
List each mutual fund and the acquired during the calendar some or all of the shares of a from the sale. For more information approviding the number under	r year and indicate a mutual fund were rmation, see FORI about a dapendent	the category of sold, also individually of the category of the	f the number of shar cate the category of UCTION GUIDE , indicate the child al	res of mutual funds he the amount of the ne	eld or acqu et gain or lo	ired. If ss realized
<sup>1</sup> MUTUAL FUND	· PIMCO To	otal Return Fund	NAJ d	ME		
<sup>2</sup> SHARES OF MUTUAL FU HELD OR ACQUIRED BY		ER	X SPOUSE	DEPENDENT CHIL	D	
3 NUMBER OF SHARES OF MUTUAL FUND	=	S THAN 100 0 TO 9,999	☐ 100 TO 499 ☑ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 T	O 4,999
4 IF SOLD	_	S THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000	O-OR MORE
MUTUAL FUND	PIMCO UI	nconstrainted B	NAM OND Fund	AE		
SHARES OF MUTUAL FU HELD OR ACQUIRED BY		R	SPOUSE	DEPENDENT CHIL	.D	
NUMBER OF SHARES OF MUTUAL FUND		S THAN 100 0 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	X 500 TO 999	☐ 1,000 T	O 4,999
IF SOLD NET GAIN NET LOSS		S THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000	⊢OR MORE
MUTUAL FUND		•	NAA	AE		
	Rochester	Municipal Fund	i			
SHARES OF MUTUAL FU HELD OR ACQUIRED BY		R	X SPOUSE	DEPENDENT CHIL	d	
NUMBER OF SHARES OF MUTUAL FUND	ı —	S THAN 100 0 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 T	O 4,9 <del>8</del> 9
IF SOLD ☐ NET GAIN ☐ NET LOSS	☐ LES	S THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000	-OR MORE
	COPY AND ATT	ACH ADDITIO	ONAL PAGES AS I	NECESSARY		

(512)463-5800

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#### PART 4 MUTUAL FUNDS ☐ NOT APPLICABLE List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calandar year and indicate the category of the number of shares of mutual funds held or ecquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS-INSTRUCTION GUIDE When reporting Information about a dependent child's activity, Indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. <sup>1</sup> MUTUAL FUND SECTOR SPDR FINANCIAL SELECT SHARES OF BENEFICIAL INT 2 SHARES OF MUTUAL FUND X SPOUSE DEPENDENT CHILD \_\_ X FILER HELD OR ACQUIRED BY 3 NUMBER OF SHARES X LESS THAN 100 100 TO 499 500 TO 999 1,000 TO 4,999 OF MUTUAL FUND 5,000 TO 9,999 ☐ 10,000 OR MORE 4 IF SOLD ■ NET GAIN ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000—OR MORE ■ NET LOSS **MUTUAL FUND** SECTOR SPDR TECH SELECT SHARES OF BENEFICIAL INT SHARES OF MUTUAL FUND X SPOUSE X FILER DEPENDENT CHILD \_ HELD OR ACQUIRED BY NUMBER OF SHARES ILESS THAN 100 100 TO 499 ☐ 500 TO 999 1,000 TO 4,999 OF MUTUAL FUND 5,000 TO 9,999 ☐ 10,000 OR MORE IF SOLD ☐ NET GAIN ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000--OR MORE ■ NET LOSS NAME **MUTUAL FUND** SECTOR SPDR UTIL SELECT SHARES OF BENEFICIAL INT SHARES OF MUTUAL FUND X FILER X SPOUSE HELD OR ACQUIRED BY DEPENDENT CHILD \_\_ NUMBER OF SHARES X 100 TO 499 1,000 TO 4,999 LESS THAN 100 ☐ 500 TO 999 OF MUTUAL FUND 5,000 TO 9,999 ☐ 10,000 OR MORE IF SOLD ☐ NET GAIN ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000-OR MORE ☐ NET LOSS COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

Fexas Ethics Commission P.O. Box	12070 Austin, Texas 7	8711-2070	(512)463	-5800 1-800-325-850
MUTUAL FUNDS  NOT APPLICABLE				PART 4
List each mutual fund and the numb acquired during the calendar year a some or all of the shares of a mutual from the sale. For more information When reporting information about a providing the number under which t	nd indicate the category of al fund were sold, also indi n, see FORM PFS-INSTR dependent child's activity	of the number of shar icate the category of RUCTION GUIDE 1, Indicate the child a	res of mutual funds h the amount of the n	eld or acquired. If et gain or loss realized
1 MUTUAL FUND	PIMCO All Asset Authorit	ty Fund	ME	
<sup>2</sup> SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
4 IF SOLD    NET GAIN   NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000—OR MORE

## Texas Ethics Commission P.O. Box 12070 Austin, Texas 78711-2070 (512)463-5800 PART 5 INCOME FROM INTEREST, DIVIDENDS, ROYALTIES & RENTS ■ NOT APPLICABLE List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS-INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME AND ADDRESS 1 SOURCE OF INCOME. Star One Federal Credit Union 166 8th St. Sunnyvale, CA 94089 <sup>2</sup> RECEIVED BY X SPOUSE X FILER ■ DEPENDENT CHILD \_\_\_\_\_ 3 AMOUNT **X** \$500 - \$4,999 NAME AND ADDRESS SOURCE OF INCOME **Charles Schwab** 101 Montgomery St. San Francisco, CA 94104 **RECEIVED BY** X SPOUSE DEPENDENT CHILD \_\_\_ X FILER **AMOUNT** \$500 - \$4,999 X \$10,000 - \$24,999 ☐ \$25,000-OR MORE NAME AND ADDRESS SOURCE OF INCOME National Financial Services 1295 State St. Springfield, MA 01111 RECEIVED BY X SPOUSE DEPENDENT CHILD \_\_\_\_\_ X FILER **AMOUNT** \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000-OR MORE **500 - \$4,999**

INCOME FROM INT	EREST, DIVIDE	NDS, ROYAL	TIES & RENTS PART 5	
NOT APPLICABLE	<u> </u>			
	rents during the calendar	year and indicate the	cess of \$500 that was derived from category of the amount of the income. For	
When reporting information abore providing the number under which			about whom you are raporting by	
1 SOURCE OF INCOME	Rent	NAME AND AD	ORESS	
, .	3906 Bailey Lane Austin, TX 78756			
2 RECEIVED BY	X FILER	X SPOUSE	DEPENDENT CHILD	
3 AMOUNT	<b>5500 - \$4,999</b>	\$5,000 - \$9,999	X \$10,000 - \$24,999	
SOURCE OF INCOME	NAME AND ADDRESS			
	Rent			
	610 Baylor St. Austin, TX 78703			
RECEIVED BY	X FILER	X SPOUSE	DEPENDENT CHILD	
AMOUNT	\$500 - \$4,999	\$5,000 - \$9,999	☐ \$10,000 - \$24,999 🔀 \$25,000OR MORE	
SOURCE OF INCOME		NAME AND AD	DRESS	
	Rent			
[	4410 NE 10th St. Portland, OR 97211	,		
RECEIVED BY	X FILER	X SPOUSE	DEPENDENT CHILD	
AMOUNT	<b>\$50</b> 0 - <b>\$</b> 4,999	区 \$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE	
CC	NDA VANU VALLACH VOUL	TIONAL PAGES AS	NECESSARY	

INTERESTS IN REAI	_ PROPERTY			PART 7A
☐ NOT APPLICABLE				
Describe all beneficial interests in calendar year. If the interest was For an explanation of beneficial in INSTRUCTION GUIDE.	sold, also indicate the o	category of the amour	nt of the net gain or loss	s realized from the sale.
When reporting information about providing the number under which			d about whom you are	reporting by
1 HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CH	
2 STREET ADDRESS  NOT AVAILABLE  CHECK IF FILER'S HOME ADDRESS	610 Baylor St Austin, TX 78703 Travis	STREET ADDRESS, INCLUD	ING CITY, COUNTY AND STATE	
3 DESCRIPTION		BER OF LOTS OR ACRES AND	NAME OF COUNTY WHERE LOCA	NTED
☑ LOTS ☐ ACRES	1 lot Residential			
4 NAMES OF PERSONS RETAINING AN INTEREST  NOT APPLICABLE (SEVERED MINERAL INTEREST)	Morrison, Laura Morrison, Philip		·	
5 IF SOLD  NET GAIN NET LOSS	LESS THAN \$5,000	<b>55,000 - \$9,999</b>	<b>\$10,000 - \$24,999</b>	☐ \$25,000—OR MORE
HELD OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CH	ILD
STREET ADDRESS  NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS	3906 Bailey Lane Austin, TX 78756 Travis	STREET ADDRESS, INCLUD	ING CITY, COUNTY AND STATE	
DESCRIPTION		IBER OF LOTS OR ACRES AND	NAME OF COUNTY WHERE LOCA	ATED
☑ LOTS. ☐ ACRES	1 lot Residentlal			
NAMES OF PERSONS RETAINING AN INTEREST  NOT APPLICABLE (SEVERED MINERAL INTEREST)	Morrison, Laura Morrison, Philip	-		
IF SOLD    NET GAIN   NET LOSS	LESS THAN \$5,000	<b>55,000 - \$9,999</b>	<b>\$10,000 - \$24,999</b>	S25,000OR MORE
COP	Y AND ATTACH ADI	DITIONAL PAGES A	S NECESSARY	

1-800-325-8506

# PART 7A INTERESTS IN REAL PROPERTY ☐ NOT APPLICABLE Describe all beneficial interests in real property held or acquired by you, your spouse, or a dependent child during the calendar year. If the interest was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For an explanation of 'beneficial interest' and other specific directions for completing this section, see FORM PFS-INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. <sup>1</sup> HELD OR ACQUIRED BY X FILER X SPOUSE DEPENDENT CHILD STREET ADDRESS, INCLUDING CITY, COUNTY AND STATE 2 STREET ADDRESS 4410 NE 10th Ave Portland, OR 97211 NOT AVAILABLE Multnomah CHECK IF FILER'S HOME ADDRESS NUMBER OF LOTS OR ACRES AND NAME OF COUNTY WHERE LOCATED 3 DESCRIPTION 1 lot X LOTS Residential ■ ACRES Morrison, Laura NAMES OF PERSONS **RETAINING AN INTEREST** Morrison, Philip NOT APPLICABLE (SEVERED MINERAL INTEREST) Morrison, Benjamin 5 IF SOLD ☐ NET GAIN \$25,000-OR MORE LESS THAN \$5,000 \$5,000 - \$9,999 \$10,000 - \$24,999 NET LOSS

Texas Etnics Commission P.O. B.	ox 12070 Austin, Texas 7	8/11-20/0	(512)463-	5800 1-800-325-8508
TRUST INCOME				PART 9
☐ NOT APPLICABLE		·		
Identify each source of income recategory of the amount of income than \$500 in income, if the identity  When reporting information about providing the number under which	received. Also identify eac of the asset is known. For a dependent child's activity	h esset of the trust in more information, so, indicate the child a	from which the benefic see FORM PFS-INST	ciary received more FRUCTION GUIDE.
1 SOURCE	Mary J Ryan Trust	NAME O	FTRUST	
<sup>2</sup> BENEFICIARY	X FILER	SPOUSE	DEPENDENT CHIL	ם
3 INCOME	X LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000-OR MORE
ASSETS FROM WHICH OVER \$500 WAS RECEIVED	Cash			
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COF	Y AND ATTACH ADDITI	ONAL PAGES AS	NECESSARY	

Texas Ethics Commission

## PERSONAL FINANCIAL STATEMENT PARTS MARKED 'NOT APPLICABLE' BY FILER

Rather than printing a page for each Part the filer checked 'Not Applicable,' this page summarizes whether the 'Not Applicable' checkbox was checked for each Part. If the checkbox is checked next to a Part below, then no pages for that Part should be present in the report. If a checkbox is not checked, then pages for that Part should be present in the report.

Austin, Texas 78711-2070

□ N/A	Part 1A - Sources of Occupational Income
⊠ N/A	Part 1B - Retainers
□ N/A	Part 2 - Stock
□ N/A	Part 3 - Bonds, Notes & Other Commercial Paper
□ N/A	Part 4 - Mutual Funds
□ N/A	Part 5 - Income from Interest, Dividends, Royalties & Rents
□ N/A	Part 6 - Personal Notes and Lease Agreements
□ N/A	Part 7A - Interests in Real Property
⊠ N/A	Part 7B - Interests in Business Entities
⊠ N/A	Part 8 - Gifts
□ N/A	Part 9 - Trust income
⊠ N/A	Part 10A - Blind Trusts
⊠ N/A	Part 10B - Trustee Statement
⊠ N/A	Part 11A - Assets of Business Associations
⊠ N/A	Part 11B - Liabilities of Business Associations
⊠ N/A	Part 12 - Boards and Executive Positions
⊠ N/A	Part 13 - Expenses Accepted Under Honorarium Exception
X N/A	Part 14 - Interest in Business in Common with Lobbyist
🖾 N/A	Part 15 - Fees Received for Services Rendered to a Lobbyist or Lobbyist's Employer
⊠ N/A	Part 16 - Representation by Legislator Before State Agency
⊠ N/A	Part 17 - Benefits Derived from Functions Honoring Public Servant
⊠ N/A	Part 18 - Legislative Continuances

### PERSONAL FINANCIAL STATEMENT AFFIDAVIT

P.O. Box 12070

The law requires the personal financial statement to be verified. The vertication page must have the signature of the individual required to file the personal financial statement, as well as the signature and stamp or seal of office of a notary public or other person authorized by law to administer oaths and affirmations. Without proper verification, the statement is not considered filed.

> I swear, or affirm, under penalty of perjury, that this financial statement covers calendar year ending December 31, 2012, and is true and correct and includes all information required to be reported by me under chaptar 572 of the Government Code.

Laure Morre

October 17, 2014

QUALLOW SON this the 15 day of A Sworn to and subscribed before me by to certify which, witness my hand and seal of office.